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10-10-02

October 9, 2002

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OCT 10 2002

Art Unit: 2634

Commissioner for Patents  
Washington, D.C. 20231

Technology Center 2600

Re: U.S. Utility Patent Application  
Appl. No. 09/525,185; Filed: March 14, 2000  
For: **Spread Spectrum Applications of Universal Frequency**  
**Translation**  
Inventors: Sorrells *et al.*  
Our Ref: 1744.0450002/MQL/JTH

Sir:

Transmitted herewith for appropriate action are the following documents:

1. Supplemental Information Disclosure Statement;
2. A list of the cited documents on Forms PTO-1449 (3 pages);
3. A copy of the sixteen (16) documents cited on Forms PTO-1449; and
4. Return postcard.

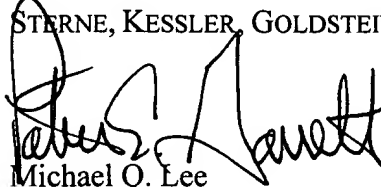
It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Commissioner for Patents  
October 9, 2002  
Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

  
Michael Q. Lee  
Attorney for Applicants  
Registration No. 35,239

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JTH/slw  
Enclosures

SKGF\_DC1:63990.1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Sorrells *et al.*

Appl. No. 09/525,185

Filed: March 14, 2000

For: **Spread Spectrum Applications of  
Universal Frequency Translation**

Art Unit: 2634

Examiner: Dac V. Ha

Atty. Docket: 1744.0450002

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**Supplemental Information Disclosure Statement**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98. The numbering on this Supplemental Information Disclosure Statement is a continuation of the numbering in Applicants' Information Disclosure Statement filed on April 19, 2002 in connection with the above-captioned application.

Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

Applicants provide the following comments regarding the documents:

Documents AK19 and AG48 were listed in a search report issued by the International Searching Authority in PCT application serial number PCT/US01/15111, filed October 5, 2001,

entitled "Method and Apparatuses Relating to a Universal Platform Module and Enabled by Universal Frequency Translation Technology," directed to related subject matter.

Documents AM18 and AJ19 were cited in an International Search Report in PCT Application Serial No. PCT/US00/31659, filed November 20, 2000, entitled "Phase Shifting Applications of Universal Frequency Translation," directed to related subject matter. Also cited by said Examiner were U.S. Patent No. 4,855,894 and PCT Publication No. WO 99/23755, which were cited in the Information Disclosure Statement filed on April 19, 2002 in connection with the above-captioned application.

Document AH48 was cited by an Examiner in co-pending U.S. Patent Application Serial No. 09/476,091, filed January 3, 2000, entitled "Image-Reject Down-Converter and Embodiments Thereof, Such as the Family Radio Service," directed to related subject matter. Also cited by said Examiner was U.S. Patent No. 5,901,349, which was cited in the Information Disclosure Statement filed on April 19, 2002 in connection with the above-captioned application.

Document AI48 was cited by an Examiner in co-pending U.S. Patent Application Serial No. 09/293,283, filed April 16, 1999, entitled "Integrated Frequency Translation and Selectivity with a Variety of Filter Embodiments," directed to related subject matter. Also cited by said Examiner were U.S. Patent Nos. 5,126,682 and 6,049,706, which were cited in the Information Disclosure Statement filed on April 19, 2002 in connection with the above-captioned application.

Documents AA49 and AB49 were cited by an Examiner in co-pending U.S. Patent Application Serial No. 09/567,963, filed May 10, 2000, entitled "Frequency Synthesizer Using Universal Frequency Translation Technology," directed to related subject matter.

Document AC49 is a co-owned patent which is directed to related technical subject matter.

Document AD49 was cited by an Examiner in co-pending U.S. Patent Application Serial No. 09/689,675, filed January 24, 2000, entitled "Bar Code Scanner Using Universal Frequency Translation Technology for Up-Conversion and Down-Conversion," directed to related subject matter.

Documents AE49-AI49 and AA50 were cited by an Examiner in co-pending U.S. Patent Application Serial No. 09/476,092, filed January 3, 2000, entitled "Analog Zero IF FM Decoder and Embodiments Thereof, Such as the Family Radio Service," directed to related subject matter

It is noted that some of these documents could be classified in more than one of the above categories.

This statement should not be construed as a representation that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

Applicants have checked the appropriate boxes below.

- ☒ 1. This Information Disclosure Statement is being filed before the mailing of a first action on the merits. No statement or fee is required.
- ☐ 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.
  - ☐ a. I hereby state that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).

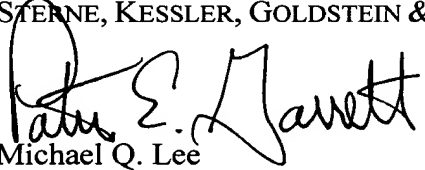
- ☐ b. I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).
- ☐ c. Attached is our Check No. 32067 in the amount of \_\_\_\_\_ in payment of the fee under 37 C.F.R. § 1.17(p).
- ☐ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. A separate Petition to the Group Director, requesting consideration of this Information Disclosure Statement, is concurrently submitted herewith, along with our Check No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ in payment of the fee under 37 C.F.R. § 1.17(i).
- ☐ a. I hereby state that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).
- ☐ b. I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).
- ☐ 4. The document(s) was/were cited in a search report by a foreign patent office in a counterpart foreign application. Submission of an English language version of the search report that indicates the degree of relevance found by the foreign office is provided in satisfaction of the requirement for a concise explanation of relevance. 1138 OG 37, 38.

- ☐ 5. Concise explanations of the relevance of the non-English language documents appears below:
- ☐ 6. Copies of the documents were cited by or submitted to the Office in an IDS that complies with 37 C.F.R. § 1.98(a)-(c) in Application No. \_\_\_\_\_, filed \_\_\_\_\_, which is relied upon for an earlier filing date under 35 U.S.C. § 120. Thus, copies of these documents are not attached. 37 C.F.R. § 1.98(d).

Consideration of the cited documents and making the same of record in the prosecution of the above-identified application is respectfully requested. The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

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